Inactivation of Single-Celled *Ascaris suum* Eggs by Low-Pressure UV Radiation

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Intact and decorticated single-celled *Ascaris suum* eggs were exposed to UV radiation from low-pressure, germicidal lamps at fluences (doses) ranging from 0 to 8,000 J/m² for intact eggs and from 0 to 500 J/m² for decorticated eggs. With a UV fluence of 500 J/m², 0.44 ± 0.20-log inactivation (mean ± 95% confidence interval) (63.7%) of intact eggs was observed, while a fluence of 4,000 J/m² resulted in 2.23 ± 0.49-log inactivation (99.4%). (The maximum quantifiable inactivation was 2.5 log units.) Thus, according to the methods used here, *Ascaris* eggs are the most UV-resistant water-related pathogen identified to date. For the range of fluences recommended for disinfecting drinking water and wastewater (200 to 2,000 J/m²), from 0- to 1.5-log inactivation can be expected, although at typical fluences (less than 1,000 J/m²), the inactivation may be less than 1 log. When the eggs were decorticated (the outer egg shell layers were removed with sodium hypochlorite, leaving only the lipoprotein ascaroside layer) before exposure to UV, 1.80 ± 0.32-log reduction (98.4%) was achieved with a fluence of 500 J/m², suggesting that the outer eggshell layers protected *A. suum* eggs from inactivation by UV radiation. This protection may have been due to UV absorption by proteins in the outer layers of the 3- to 4-µm-thick eggshell. Stirring alone (without UV exposure) also inactivated some of the *Ascaris* eggs (~20% after 75 min), which complicated determination of the inactivation caused by UV radiation alone.

*Ascaris lumbricoides* is the most prevalent of the parasitic intestinal worms; an estimated 1.4 billion people are infected worldwide, mostly in developing countries (7). Helminth infections like ascariasis lead to a host of physical and mental disabilities, including cognitive and societal impairment, higher susceptibility to infection, decreased responsiveness to vaccination, and malnutrition (6, 7), which impair the development of several hundred million children in developing countries (7). An adult female *A. lumbricoides* worm sheds up to 200,000 eggs daily (37); these eggs are passed in the feces of the infected individual and are thus present in wastewater, contaminated soil, and, in some cases, contaminated drinking water sources. Under favorable environmental conditions, the eggs may remain viable for up to 15 years (32).

*Ascaris* eggs have a 3- to 4-µm thick, four-layer shell that consists of an inner lipoprotein layer (ascaroside layer), a thicker chitin/protein layer, a lipoprotein vitelline layer, and an outer acid mucopolysaccharide/protein uterine layer (43). The inner lipoprotein layer consists of a unique mixture of 25% protein and 75% lipid-containing ascarosides and is responsible for the impermeability of the shell (43). The chitinous layer, which provides structural strength, contains chitin spindles in a protein matrix (11). The compositions of the vitelline and uterine layers are not well characterized, but both contain protein (43). The outer three layers can be removed by soaking the eggs in a solution of hypochlorite, leaving only the inner lipoprotein layer (2, 19); this process is referred to as “decortication.”

*Ascaris* eggs are more resistant than other water-related pathogens to most types of inactivation; the only exceptions are some viruses and bacterial endospores that are more resistant to very high temperatures. *Ascaris* eggs can be inactivated in minutes by temperatures above 60°C, but they can survive for more than 1 year at 40°C (10). Disinfection with chlorine at commonly applied doses is ineffectual (20). The impermeability of the inner ascaroside membrane also protects the eggs from a variety of strong acids, strong bases, oxidants, reductants, protein-disrupting agents, and surface-active agents (2). Although the use of UV disinfection is becoming more common in wastewater and drinking water treatment systems, the effect of UV radiation on *Ascaris* eggs has not been adequately studied yet.

Previous reports on UV irradiation of *Ascaris* eggs present conflicting evidence. Using data reported by de Lemos Chernicharo et al. (8), we calculated that 0.77-log inactivation was achieved for unembryonated (single-celled) *A. lumbricoides* eggs subjected to a 254-nm UV fluence (dose) of 200 J/m² in a small photoreactor. In contrast, based on the data reported by Tromba (40), we calculated that infective (containing a larva) *Ascaris suum* eggs exposed to UV fluences between 240 and 960 J/m² were inactivated by between 1.9 and 2.3 logs, although a fluence-response relationship was not observed. A complete fluence (dose)-response curve for *Ascaris* egg inactivation by low-pressure UV, using standardized procedures and a collimated light source, has not been reported. Such bench-scale experiments have become the standard for determining the fluence response of microorganisms to UV radiation (4, 29, 30).

The objectives of the research presented here were (i) to determine the inactivation of *A. suum* eggs exposed to various fluences of UV light in a bench-scale experiment and (ii) to determine the contribution of the outer layers of the eggshell to the resistance of the eggs to UV exposure.
UV INACTIVATION OF ASCARIS EGGS

MATERIALS AND METHODS

Ascaris suum eggs purchased from Excelsior Sentinel Inc. (Ithaca, NY) were used for all experiments; the eggs were obtained from the intestines of infected pigs and were shipped at a concentration of 2 x 10^14 eggs/ml in a 0.5% formalin storage solution. Storage and incubation of Ascaris eggs in formalin is a common procedure for reducing the growth of fungi and bacteria (5). All experiments were completed within 3 months after we received the eggs, which were stored at 4°C prior to use. A. suum eggs are commonly used as a model for A. lumbricoides because it is easier to obtain large numbers of eggs of A. suum than to obtain large numbers of A. lumbricoides eggs and the eggs can be concentrated without subjecting them to chemical treatments that might alter their characteristics. It has not yet been established that A. suum, which infects pigs, and the human parasite A. lumbricoides are distinct species (32). Although evidence of genetic differences has been reported, so far no morphological or physiological differences have been found (1, 45). Ascaris eggs obtained from the uteruses of adult worms have been shown to have infectivity and inactivation characteristics similar to those of eggs obtained from infected feces (14, 31); however, there is some evidence that the resistance of the egg shells to environmental conditions is increased by a quinine-tanning process that occurs after they leave the uterus (11, 43). Also, when eggs from worm uteruses are dissected, it is difficult to ensure that only completely mature eggs (with complete eggshells) are collected. For these reasons, eggs were collected from pig intestines rather than worm uteruses. The eggs were in the unembryonated (single-cell) stage, which is often the dominant developmental stage of eggs in wastewater (unpublished data) or drinking water sources, although more developed eggs are present if they spend more time in environmental conditions prior to disinfection.

Preparation of working suspensions of Ascaris eggs. A working suspension of eggs in phosphate-buffered saline (PBS) (21) was prepared by adding 0.5 ml of the purchased stock egg suspension to 40 ml PBS in a 50-ml centrifuge tube. After room temperature was reached, the tube was centrifuged in a Diamond/IEC model K centrifuge (Thermo Electron Corporation, Woburn, MA) to separate the eggs. This and all subsequent centrifugations were performed at 1,000 x g for 10 min. The supernatant was aspirated to remove the formalin solution, and the tube was refilled to 35 ml with PBS. Each 35 ml of working suspension supplied eggs for five samples, which were processed within 30 h of the rinsing step.

The procedure used for preparing a decorticated egg working suspension (removing the outer eggshell layers) was the same as the procedure used for preparing the intact egg working suspension, except that following the rinsing step, a pipette was used to carefully remove all but 1 ml of the supernatant and the tube was refilled with 35 ml PBS. Each 35 ml of working suspension supplied eggs for five samples, which were processed within 30 h of the rinsing step.

The percentages of embryonated eggs in the intact and decorticated egg suspensions that were not stirred were approximately 95 and 97%, respectively. Stirring for 10 or 75 min had only a minor effect on the percentage of embryonated eggs in the decorticated egg suspensions. However, in the suspensions of intact eggs stirred for 75 min, a significant decrease in viability, to 73%, was observed. Significant numbers of both unembryonated eggs and empty eggshells were present in these stirred samples. Thus, intact eggs appeared to have been damaged by stirring, whereas the decorticated eggs were not damaged.

Interestingly, empty eggshells were rarely observed in the samples exposed to UV radiation; it is possible that empty eggshells were produced by the stirring but were destroyed.
during the simultaneous UV treatment or the subsequent incubation and chlorine treatment. Unfortunately, it was not possible to independently investigate the effects of stirring and UV exposure because stirring was necessary to prevent the eggs from settling so that a consistent fluence of UV could be applied throughout each sample suspension. Nonetheless, it was necessary to choose an appropriate control with which to calculate inactivation due to UV. Because the levels of recovery of the empty eggshells were different for the different treatments (e.g., much higher for the non-UV-exposed samples) and appeared to be an effect of stirring rather than UV exposure, empty eggshells were excluded from the log inactivation calculation. Thus, the log inactivation was calculated using the following equation: $\log \text{inactivation} \approx \frac{-\log (P_{uv}/P_{st})}{n}$, where $P_{st}$ is the percentage of embryonated eggs in the non-UV-exposed, stirred sample (not including empty eggshells) and $P_{uv}$ is the percentage of embryonated eggs in the UV-exposed, stirred sample (not including empty eggshells).

For the intact eggs, the control that was used ($P_{st} = 87.09\%$ in Table 1) had a significant effect on the inactivation that was attributed to UV. If the effect of stirring had not been accounted for (e.g., by using 95.14\% in Table 1) the inactivation attributed to UV would have been overestimated. On the other hand, if the empty eggshells had been included in the total egg counts (e.g., by using 73.21\% in Table 1), the inactivation attributed to UV may have been underestimated.

**UV inactivation of Ascaris eggs.** The results obtained when intact and decorticated Ascaris eggs were exposed to a range of UV fluences are shown in Table 1. The percentage of embryonated eggs, the log inactivation (calculated using the equation given above), and the 95\% confidence intervals are shown. For samples in which no embryonated eggs were recovered, the minimum possible inactivation was determined by calculating the value for inactivation if one embryonated egg had been present in the eggs counted. Some embryonated eggs were recovered in all of the decorticated egg samples and in all but one of the intact egg samples exposed to 4,000 J/m$^2$ or less. Two embryonated eggs were recovered in an intact egg sample exposed to 8,000 J/m$^2$, but this result was considered to be an outlier, possibly due to contamination.

The decorticated eggs were much more susceptible to UV than the intact eggs; at a UV fluence of 500 J/m$^2$, 1.80-\% log inactivation (mean ± 95\% confidence interval) of decorticated eggs was observed, whereas the inactivation of intact eggs was only 0.44 ± 0.20 log. The maximum log inactivation of intact eggs was 2.23 ± 0.49 at a fluence of 4,000 J/m$^2$; higher log inactivation values could not be detected with the sample size of approximately 300 eggs. The log inactivation curves for the intact and decorticated eggs are compared in Fig. 1.

**DISCUSSION**

**Effect of stirring on Ascaris eggs.** As shown in Table 1, stirring intact Ascaris eggs for 75 min resulted in more than a 20\% decrease in the percentage of embryonated eggs recovered after incubation. A possible explanation is that the intact eggs, with their rigid eggshells, were more susceptible to damage from the physical forces created by the stir bar, whereas the decorticated eggs, which had only a flexible lipid membrane, were less susceptible to such damage. Alternatively, it is possible that stirring decorticated eggs also produced empty eggshells that subsequently disintegrated during incubation in H$_2$SO$_4$. Similarly, it is possible that empty eggshells were not recovered from the UV-exposed samples because UV damage made the eggshells more susceptible to further degradation by...
H₂SO₄ during incubation or by chlorine during decortication. Because it was not possible to separate the effects of stirring and UV exposure (with a nonstirred, UV-exposed control), there is some uncertainty about whether the method we used is the most appropriate way to determine log inactivation. Unfortunately, other types of mixing that might cause less damage to the eggs (e.g., mechanical, recirculating flow, or aeration) also cause shading, making it more difficult to calculate the fluence of UV radiation delivered. Nonetheless, it is recommended that future experiments be conducted in a way that stirring can be eliminated or minimized as a source of error.

UV inactivation of *A. suum* eggs. Intact *Ascaris* eggs were highly resistant to UV radiation; a fluence of 1,000 J/m² was required to achieve 1-log inactivation. This fluence is two to four times higher than the fluences required to inactivate adenoviruses (13, 24, 36, 39), which are the most UV resistant of the human enteric viruses that have been studied. Thus, according to the methods used in our research, *Ascaris* eggs are the most UV-resistant water-related pathogen identified to date. Compared to intact eggs, the decorticated *Ascaris* eggs were more susceptible to UV radiation, and a fluence of only 300 J/m² was required to achieve 1-log inactivation. The improved efficacy of UV for inactivation of decorticated eggs compared with the inactivation of intact eggs suggests that the outer layers of the eggshell provide protection from UV radiation. All three of these layers (chitin/protein layer, lipoprotein vitelline layer, and acid mucopolysaccharide protein uterine layer) contain protein. Although proteins absorb 254-nm UV radiation much less efficiently than nucleic acids absorb this radiation (15), the intact *Ascaris* eggshell is quite thick (3 to 4 µm), and we hypothesize that proteins in the outer layers absorbed some of the UV radiation, protecting the nucleic acids inside.

Although we did not measure the UV absorption of the *Ascaris* egg membrane directly, three lines of reasoning support this hypothesis. First, if the 4-µm eggshell has an absorption coefficient of 1,300 cm⁻¹, it would be sufficient to decrease the UV intensity by two-thirds across the eggshell, which could explain the difference between the UV fluence needed to achieve 1-log inactivation of the intact eggs and the UV fluence needed to achieve 1-log inactivation of the decorticated eggs. An adsorption coefficient of this order of magnitude seems reasonable based upon previously reported values for particles in secondary wastewater, which are predominately composed of bacterial cells and had adsorption coefficients (at 254 nm) ranging from 3,300 to 74,300 cm⁻¹ (22). Second, the molar extinction coefficient of cellular proteins at 254 nm is reported to be about 100 M⁻¹ cm⁻¹ (23); if there is one protein every 1 nm, then the resulting reduction in UV intensity would also be about two-thirds across the eggshell. Finally, we also measured the absorbance of our egg suspensions, although we could not estimate the amount of light that was scattered versus the amount of light that was absorbed because our spectrophotometer did not have integrating-sphere capability. Nonetheless, the absorbance measurements (e.g., for 3,500 eggs/ml, the absorbance was about 1 for a 1-cm path length) were consistent with absorption coefficients of about 1,000 cm⁻¹ or more for the eggshell. Thus, while decortication significantly improved the efficacy of UV radiation for inactivating *Ascaris* eggs, it is not a practical treatment step because the majority of eggs in drinking water supplies and treated wastewater are intact, and decortication requires extremely high hypochlorite concentrations.

The UV inactivation of both intact and decorticated eggs (Fig. 1) followed roughly first-order kinetics, although a significant amount of tailing was observed with the intact eggs. Part
of the tailing may have been an artifact of the detection method used, because very low numbers of embryonated eggs were observed at the higher UV fluences (10/405 eggs at 2,000 J/m², 3.7/339 eggs at 3,000 J/m², and 2.7/523 eggs at 4,000 J/m² [Table 1]). An enumeration method that was more amenable to analysis of larger numbers of eggs may reduce the variability associated with higher fluences (Fig. 1). Tailing could also be due to a distribution of UV resistance within the _Ascaris_ population (a small number of eggs that are highly UV resistant) (15) or due to development of increasing protection from UV as a consequence of UV exposure (for example, by aggregation) (3).

Our results indicate that the level of inactivation of _Ascaris_ eggs by UV is lower than the level measured previously. Considering only embryonated eggs (the eggs containing fully developed larvae), we calculated that de Lemos Chernicharo et al. (8) observed a 0.78 ± 0.26-log reduction for _A. lumbricoides_ subjected to a fluence of 200 J/m², whereas we measured only a 0.44 ± 0.20-log reduction for _A. suum_ at a fluence of 500 J/m². A possible explanation for the difference is that de Lemos Chernicharo et al. used eggs dissected from worm uteruses, whereas we used eggs isolated from host intestines. Eggs collected from worm uteruses may not be as resistant to environmental conditions as eggs exposed to host intestinal contents (11, 43), and all of the eggs may not be fully mature (with complete eggshells) when they are collected. Another difference between the studies is that we used _A. suum_ and de Lemos Chernicharo et al. used _A. lumbricoides_; however, to date, no morphological or physiological differences have been found between these species (1, 32, 45). It is also important to note that it is not clear how the applied fluence was determined by de Lemos Chernicharo et al. in their pilot-scale photoreactor; therefore, it is possible that the mean received fluence was even lower than the reported applied fluence. Finally, de Lemos Chernicharo et al. observed a significant number of eggs in intermediate stages of development after incubation, whereas we observed very few eggs in intermediate stages. The U.S. Environmental Protection Agency’s standard procedure for determining viability defines only eggs that are fully embryonated after 28 days of incubation as viable (41). To understand the difference between the use of incubation and the use of infectivity for determining viability, it is useful to review the _Ascaris_ life cycle, which has been described by various authors (9, 17, 27, 34). Briefly, when _Ascaris_ eggs are excreted in the feces of a host, each of them contains a single cell, which requires 6 to 12 weeks of aerobic incubation in the environment (or less time in the laboratory under ideal conditions) to divide and grow into an infective, third-stage larva (12). Once an infective egg is ingested by a host, the larva hatches in the intestine, penetrates the wall of the cecum or upper large intestine, and migrates to the liver. In the liver, it sheds the L2 cuticle and migrates to the lungs, where it penetrates the alveoli. From the lungs it moves up the trachea, is swallowed again by the host, and returns to the small intestine, where it develops into an adult.

Assuming that most of the damage caused to the eggs by 254-nm UV radiation is damage to the nucleic acids (15) and recognizing that sections of the UV-damaged _Ascaris_ genome may not be expressed until later in the life cycle, it is reasonable to assume that fewer eggs complete development if the endpoint is infectivity of the liver, lung, or small intestine (in that order) than if the endpoint is growth to the third-stage larva. However, it is important to point out that during _Ascaris_ egg development to the third-stage larva, the _Ascaris_ egg grows from one cell to about 600 cells (35), which is significantly different from what happens in the life cycle of the single-celled prokaryotic protozoans _Giardia_ and _Cryptosporidium_. For protozoans exposed to UV, infectivity studies or cell cultures are necessary to measure viability, because the DNA is not fully replicated until the organisms reproduce, after infection (16, 18, 33, 38). In _Ascaris_ , however, the complete DNA of the organism is replicated when the single cell in the egg undergoes the first two cell divisions before it differentiates into the germ and somatic cell lines that form the larva (26).

Another important consideration is that because _Ascaris_ larval migration through the liver causes rupture of the portal vessel and lesions (34) and migration through the lungs can cause pneumonitis, asthma, dyspnea, cough, and substernal pain (7), once a third-stage larva hatches and penetrates the intestinal lining, it is a health risk to its host. Therefore, in the interest of protecting public health, we believe that development to the third-stage larva is a better endpoint than pig infectivity. Additionally, from a practical perspective, infectivity measures are not suited to establishing a fluence-response relationship for UV-exposed _Ascaris_ eggs, because techniques for larval isolation from liver and lung tissues result in only incomplete recovery and the recovery is not proportional to the number of embryonated eggs in the inoculant (17, 34, 40). Alternatively, a most-probable-number approach could be used (25), but this would require inoculating a large number of pigs.

Based on our results, we conclude that some inactivation of _Ascaris_ eggs occurs in most UV disinfection systems. In drinking water, the required fluences proposed in the draft UV guidance manual for the long-term enhanced surface water treatment rule range from 15 to 1,860 J/m², depending