

Comparison of Ergot Alkaloid Biosynthesis Gene Clusters in *Claviceps* Species Indicates Loss of Late Pathway Steps in Evolution of *C. fusiformis*[∇]

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The grass parasites *Claviceps purpurea* and *Claviceps fusiformis* produce ergot alkaloids (EA) in planta and in submerged culture. Whereas EA synthesis (EAS) in *C. purpurea* proceeds via clavine intermediates to lysergic acid and the complex ergopeptines, *C. fusiformis* produces only agroclavine and elymoclavine. In *C. purpurea* the EAS gene (*EAS*) cluster includes *dmaW* (encoding the first pathway step), *cloA* (elymoclavine oxidation to lysergic acid), and the *lpsA/lpsB* genes (ergopeptide formation). We analyzed the corresponding *C. fusiformis* *EAS* cluster to investigate the evolutionary basis for chemotypic differences between the *Claviceps* species. Other than three peptide synthetase genes (*lpsC* and the tandem paralogues *lpsA1* and *lpsA2*), homologues of all *C. purpurea* *EAS* genes were identified in *C. fusiformis*, including homologues of *lpsB* and *cloA*, which in *C. purpurea* encode enzymes for steps after clavine synthesis. Rearrangement of the cluster was evident around *lpsB*, which is truncated in *C. fusiformis*. This and several frameshift mutations render *Cf**lpsB* a pseudogene (*Cf**lpsB*^ψ). No obvious inactivating mutation was identified in *Cf**cloA*. All *C. fusiformis* *EAS* genes, including *Cf**lpsB*^ψ and *Cf**cloA*, were expressed in culture. Cross-complementation analyses demonstrated that *Cf**cloA* and *Cf**lpsB*^ψ were expressed in *C. purpurea* but did not encode functional enzymes. In contrast, *Cp**cloA* catalyzed lysergic acid biosynthesis in *C. fusiformis*, indicating that *C. fusiformis* terminates its EAS pathway at elymoclavine because the *cloA* gene product is inactive. We propose that the *C. fusiformis* *EAS* cluster evolved from a more complete cluster by loss of some *lps* genes and by rearrangements and mutations inactivating *lpsB* and *cloA*.

Numerous phytopathogenic fungi belonging to the genus *Claviceps* produce ergot alkaloids (EA), which are tri- or tetracyclic derivatives of prenylated tryptophan. Some EA show structural similarity to the neurotransmitters serotonin and dopamine and have affinity to the cognate receptors in the central nervous system (1, 12). Therefore, EA have long been used for treatment of a variety of disorders of the central and peripheral nervous systems (22).

EA for pharmaceutical use are produced using *Claviceps purpurea*, a ubiquitous fungus that infects nearly 600 grasses and cereals and causes ergot disease (2). These alkaloids accumulate in sclerotia (“ergots”), the dense fungal resting structures that replace host seeds. The biochemistry of EA formation in *C. purpurea*, *Claviceps fusiformis*, and other fungi has been studied in detail (for reviews, see references 6 and 17). The first reaction of the pathway is prenylation of tryptophan with formation of dimethylallyltryptophan (Fig. 1). Biosynthesis proceeds to production of clavine alkaloids such as agroclavine and elymoclavine, the end product in *C. fusiformis*. In *C. purpurea* the pathway continues to lysergic acid, and the end products of the pathway are lysergic acid amides, including the complex ergopeptines.

Roles for several genes of the EA synthesis (EAS) gene cluster (*EAS* cluster) in *C. purpurea* have been characterized to date. The gene encoding the enzyme catalyzing the first step (tryptophan

aromatic prenylation) is *dmaW*. The *cloA* gene product converts elymoclavine to paspalic acid, which undergoes a spontaneous isomerization to lysergic acid. Lysergyl peptide synthetase 1 (a nonribosomal peptide synthetase [NRPS]) is a complex consisting of the products of *lpsB* and one of the two *lpsA* paralogues, *lpsA1* or *lpsA2*. For example, the complex consisting of the *lpsA1* and *lpsB* gene products catalyzes formation of ergotamine, which is then oxidized (presumably by another enzyme) to ergotamine (3). These genes have been functionally analyzed by heterologous expression or gene replacement approaches (3, 8, 21). The cluster also contains genes predicted to encode oxidoreductases, a catalase, and an additional NRPS, *LpsC*, the function of which is still not known (7, 23).

Whereas *C. purpurea* produces lysergic acid and its complex derivatives, the EAS pathway in *C. fusiformis* terminates at elymoclavine. These two fungi have similar life cycles, but the host range of *C. fusiformis* is limited to pearl millet (*Pennisetum glaucum*) and buffel grass (*Pennisetum ciliare*), whereas *C. purpurea* infects temperate grasses (subfamily Pooideae). Here we describe a comparative sequence analysis of *EAS* cluster genes in these two fungi, as well as expression studies, to determine the genetic basis for differences in their EA profiles.

MATERIALS AND METHODS

Strains and culture conditions. *C. purpurea* strain P1 (= ATCC 20102), which produces mainly ergotamine along with low levels of ergocryptine, was described previously (10, 23), as were the standard media and culture conditions (23). *C. fusiformis* strain SD58 (= ATCC 26245), which produces mainly agroclavine and elymoclavine, and its culture growth conditions have been described previously (21). For alkaloid production and for RNA expression studies, *C. purpurea* was cultivated in T25N medium (23) and *C. fusiformis* was cultivated in T2 medium

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TABLE 1. Primers used for RT-PCR and sequencing reactions

Use	Forward primer		Reverse primer	
	Designation	Sequence (5'-3')	Designation	Sequence (5'-3')
<i>C. fusiformis</i> EAS gene expression	CfdmaW_1d	ACACGGATGGTATGAGATGGC	Cf_dmaW_3u	CTACGTCAGGGACAAGTCAC
	Cf_easG_d	GTTTGCACGCACGGGAATAC	Cf_easG_u	AGGCAGTGGCAAGACTGCTG
	Cf_easF_d	AACGAAGGACTGGACCACTG	Cf_easF_u	GTGTTTCGCTGATCCATCGT
	Cf_easE_d	AAGTATATGCTCATGGGTGC	Cf_easE_u	CATATCATTGAAGTGCTGCA
	Cf_easD_d	TTGGCTCGCGGTACAGCTTC	Cf_easD_u	GACGATTCTCGAGGAAGACG
	Cf_easC_d	GTCAACTTGCCTACACATCG	Cf_easC_u	GGACCTCGTACATGCTCAAC
	Cf_cloA_d	AATCATGGCGTCCAGTCCAC	Cf_cloA_u	CTCTGGCTATACCAAGCTCT
	Cf_lpsB_d	CTGTTACAGAGAGCATCGCG	Cf_lpsB_u	CGAAACTACCATCTCAGAGC
	M03	TCAGGTCCATCGATCAGCCAGTAT	M02	TTTTCATCTCTTCGCAACATTCC
	<i>C. fusiformis</i> lpsB integration test	Cf_lpsB_f	TGCGGCGAGGAACGAAGAATGG	Cf_lpsB_r
<i>C. fusiformis</i> cloA integration test		Cf_cloA_f	CCTCCGAGCAGCCTTACTTTT	Cf_cloA_r
	HI-LF-P450-1	CCCCTAGGACATTGATCGGAGA	HI-WT-P450-1	AAGGGGCATTACTATAGGTTCTTTC
	UR450	ATCGATCTGCCCTTCGCTTCTCGTT	HI-RF-P450-1	CGTTGAACCCGCTATTACGAG

RESULTS AND DISCUSSION

Comparison of the EAS cluster sequences of *C. purpurea* and *C. fusiformis*. The EAS cluster sequence of *C. purpurea* P1 comprises 68.5 kb and includes 13 genes that are coordinately induced in EA production conditions (7). A clone (cosmid Cf26E11) of the homologous genomic region in *C. fusiformis* SD58 was previously obtained by screening a cosmid library with *dmaW* cDNA as a probe (21). We sequenced this cosmid and obtained a 35.4-kb contig, in which a 19.6-kb region contains nine homologues of the *C. purpurea* EAS cluster genes (Fig. 2). Corresponding to the orientation of the *C. purpurea* cluster, *dmaW* represents the right border of the sequenced *C. fusiformis* EAS cluster; the left border was flanked by a predicted aminopeptidase family gene (Fig. 2), to the left of which 15 hypothetical protein genes were identified. The corresponding location of the *C. purpurea* cluster was not homologous to the aminopeptidase gene or these hypothetical protein genes and instead contained (from right to left) another putative NRPS gene (*lpsC*), a gene encoding another hypothetical protein (*orfD*), and a putative amino acid biosynthesis gene (*imd*) (7).

RT-PCR indicated that all genes of the *C. fusiformis* cluster were expressed in EA-producing cultures (data not shown). The expressed genes included the homologue of *CpcloA*, which

encodes a cytochrome P450 monooxygenase necessary for synthesis of lysergic acid from elymoclavine. Also expressed was the homologue of *CplpsB*, which encodes the smaller subunit of the large NRPS, lysergyl peptide synthetase (23).

Considering the fact that *C. fusiformis* accumulates elymoclavine and not lysergic acid or its amides, there is no obvious role for *cloA* or *lpsB* in this species, and the possibility was considered that these genes are pseudogenes. A comparison of the amino acid sequences predicted for the *cloA* homologues in *C. purpurea* and *C. fusiformis* revealed 66.4% identity. This level of divergence was comparable to that between the functional homologues *CfdmaW* and *CpdmaW*. Within the heme-binding domain the level of identity was 80%. Therefore, there was no reason to expect that the gene has been inactive for a long evolutionary time. Furthermore, it was not apparent that any of the differences in *CfcloA* might affect the function of the protein product. Also, a comparison with the amino acid sequence derived from the putative orthologue from *Epichloë festucae* did not give any hint of a functional defect (data not shown). Therefore, we investigated whether *CfcloA* mRNA may be incorrectly processed. The gene sequence indicated the same exon-intron structure as *CpcloA*, although a potentially significant change was observed in the last intron, where *CfcloA* had a predicted 5' GC splice junction, whereas *CpcloA*

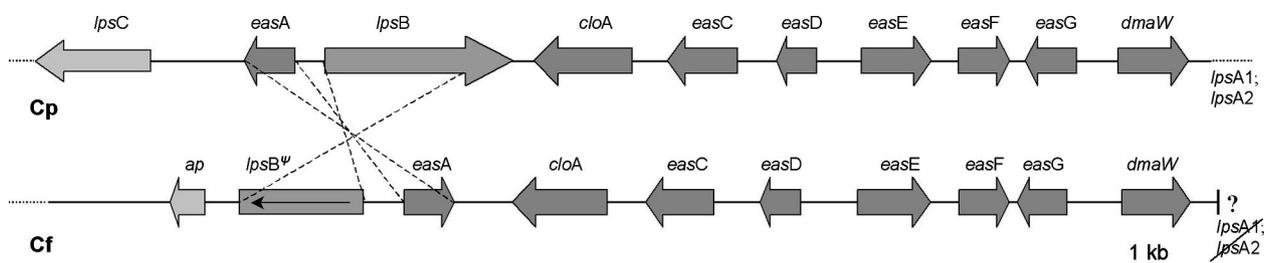


FIG. 2. Schematic comparison of the EAS clusters of *C. purpurea* (top) and *C. fusiformis* (bottom). Homologous genes in the two species are indicated by dark gray arrows, whereas genes identified in only one species are indicated by light gray arrows. The arrows indicate the orientation of transcription. The genes, as well as the intergenic regions, are drawn to scale. Rearrangement in the locus, indicated by dashed lines, is associated with truncation of *lpsB* in *C. fusiformis*. *ap* is a putative aminopeptidase gene adjacent to the *C. fusiformis* EAS cluster. The accession no. for the *C. fusiformis* cluster sequence is EU006773.

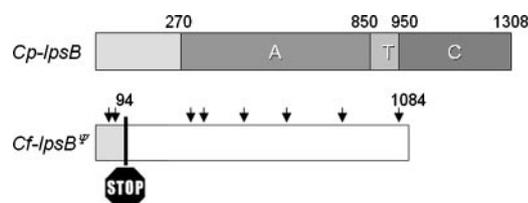


FIG. 3. Schematic comparison of *CplpsB* and its homologue *CfIpsB*^Ψ. Adenylation (A), thiolation (T), and condensation (C) domains are indicated by shaded boxes, and the numbers indicate the domain borders (amino acids) for *CplpsB*. The arrows indicate frame-shift mutations in *CfIpsB*^Ψ resulting in a premature stop codon at position 94. The open box indicates the portion of *CfIpsB*^Ψ downstream of the stop codon that aligns with *CplpsB*.

had the more common GT junction. RT-PCR analysis and sequence analysis of the products indicated that the expressed *CfclpA* transcript was nevertheless properly spliced (data not shown).

In the case of *CfIpsB*^Ψ, numerous indel mutations shifted the reading frame throughout the coding sequence (Fig. 3). An in silico correction of these frameshifts gave a predicted product with 61.9% identity to the product of *CplpsB* (Fig. 3). However, this region of similarity extends only to codon 1084 of the 1,308 codons of *CplpsB*, and there is no recognizable match between the remaining 3' portion of the *C. purpurea* gene in the *C. fusiformis* locus. Thus, *CfIpsB*^Ψ lacks coding sequence for most of the last (condensation) domain of LPS2.

The critical changes found in the first exon of *CfIpsB*^Ψ included two deletions, 4 bp each, which shifted the reading frame to a reading frame ending with a stop at codon position 94 (Fig. 3). Another stop codon in this reading frame was at position 117. Therefore, only a short, nonfunctional peptide was expected as a product of this pseudogene. The insert in cosmid clone Cf26E11 contained no apparent fungal genes downstream of *dmaW*, whereas the corresponding region of the known *EAS* cluster in *C. purpurea* has genes for a putative nonheme iron oxygenase (*easH*) and the paralogous *lpsA1* and *lpsA2* genes (7, 17). We failed to extend the *C. fusiformis* sequence information in this direction by genome walking using thermal asymmetric interlaced PCR with genomic DNA of *C. fusiformis* as the template as well as identification of any cosmid that would extend this region. Apparently, the region downstream of *CfdmaW* is refractory to PCR or perhaps is telomere associated.

Southern blot analysis (Fig. 4), as well as PCR approaches (data not shown), revealed no *lpsA* homologues in the *C. fusiformis* genome. The *CplpsA1* probe (14) hybridized to at least two *EcoRI* fragments from the genome of *C. purpurea* P1, but there was no detectable signal from *C. fusiformis* genomic DNA. In contrast, the *CpdmaW* probe cross-hybridized with *CfdmaW* (as well as with a paralogue in the *C. purpurea* genome), but not with the homologues in *Neotyphodium* species or *Balansia obtecta*. This observation is in keeping with previously published phylogenetic relationships, which group the *Claviceps* species closer to each other than to other genera in the family. Therefore, the observation that the *CplpsA* probe hybridized detectably to the *lpsA* genes in the *Neotyphodium* species and *B. obtecta* but not to DNA from *C. fusiformis* indicates that the latter species is devoid of detectable *lpsA*

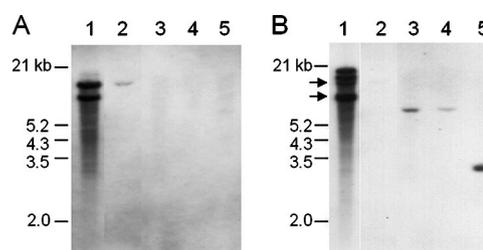


FIG. 4. Southern blot analysis of genomic DNA from several EA-producing fungi. The membrane was first hybridized to a probe from *CpdmaW* (A) and then stripped and hybridized to probe from *CplpsA* (B). Samples (4 μ g) of total fungal DNA were digested with *EcoRI* to completion, fractionated by electrophoresis in a 0.9% agarose gel, and transferred to a nylon membrane. The lanes contained DNA from *C. purpurea* P1 (lane 1), *C. fusiformis* SD58 (lane 2), *Neotyphodium coenophialum* ATCC 90664 (lane 3), *N. lolii* \times *Epichloë typhina* Lp1 (lane 4), and *B. obtecta* B249 (lane 5). The arrows in panel B indicate the positions of a residual signal from the *CpdmaW* probe; the lower band (8.3 kb) was close to the position of an authentic *lpsA* signal.

homologues. It has been reported that deletion of *lpsA* in a *Neotyphodium* species eliminates production of the ergopeptide ergovaline, as well as lysergic acid amide (14). The lack of functional lysergyl peptide synthetase genes in *C. fusiformis* is not surprising because this species does not synthesize the enzyme substrate, lysergic acid, or its derivatives. In all, we identified one pseudogene (*CfIpsB*^Ψ) and eight potentially functional genes in the *C. fusiformis* *EAS* cluster that were homologues of genes in the *C. purpurea* *EAS* cluster. These genes included *dmaW* and *cloA*, as well as genes potentially encoding a flavin mononucleotide-containing oxidoreductase (*easA*), a catalase (*easC*), a dehydrogenase (*easD*), a flavin adenine dinucleotide-containing monooxygenase (*easE*), a methyltransferase (*easF*), and an oxidoreductase/epimerase (*easG*). However, the absence of paspalic acid and lysergic acid in *C. fusiformis* raised the question of whether *CfclpA* was functional.

Functional characterization of the *cloA* and *lpsB* homologues from *C. fusiformis*. To test their functionality, the *cloA* and *lpsB* genes of *C. fusiformis* were used in an attempt to complement the corresponding deletion mutants of *C. purpurea*. A Δ *lpsB* deletion mutant (3) was transformed with the entire *CfIpsB*^Ψ pseudogene, and its integration (including upstream and downstream regions) into the *C. purpurea* genome was confirmed by PCR and Southern blot analysis (data not shown). The transformed mutants were then cultivated under EA-producing conditions, and the expression of the *EAS* cluster genes was examined by Northern analysis. *CfIpsB*^Ψ was expressed in transformed *C. purpurea*, but the transcript was much smaller than the *C. purpurea* homologue (data not shown). This was expected because of the apparent 3' truncation of the coding sequence, as mentioned above. Extraction of EA from the cultivation medium and HPLC analysis showed the same alkaloid spectrum in the transformants ($n = 6$) as in the deletion mutant (data not shown), confirming that the gene was nonfunctional.

The same approach was used in an attempt to complement the Δ *cloA* mutant of *C. purpurea* (8) with *CfclpA*. Integration of *CfclpA* in *C. purpurea* genomic DNA was also confirmed by

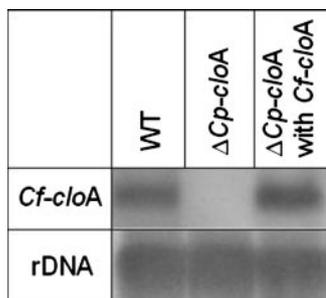


FIG. 5. Heterologous expression of *CfcloA* in *C. purpurea* strains, including the wild type (P1) (WT), a Δ Cp*cloA* mutant, and a transformant carrying the full-length *CfcloA* gene.

PCR and Southern blot analysis (data not shown). Northern analysis showed that *CfcloA* mRNA was expressed in the transformant (Fig. 5). However, alkaloid profiles showed no differences between the deletion mutant and the transformants ($n = 10$) (data not shown), indicating that *CfcloA* does not encode a functional homologue of *CpcloA*. These analyses show that the two *C. fusiformis* genes are expressed in the *C. purpurea* background (as they are in *C. fusiformis*); i.e., regulation of their transcription is normal, but the products that they encode seem to be inactive. This explains why the biosynthetic pathway terminates at elymoclavine in *C. fusiformis* (Fig. 1).

Modification of the alkaloid spectrum of *C. fusiformis* by a *C. purpurea* gene. If the production of more complex EA by *C. fusiformis* is limited by the lack of a functional *cloA*, then the introduction of the functional *cloA* gene from *C. purpurea* should enable *C. fusiformis* to synthesize paspalic acid and lysergic acid. The construct used for complementation of *C. fusiformis* was previously used for restoration of the wild-type profile of the Δ *cloA* mutant of *C. purpurea* (8). Integration of *CpcloA* into the *C. fusiformis* genome was confirmed by PCR and Southern blot analysis, and expression of the gene was verified by RT-PCR (data not shown). Five of the transformants containing the *C. purpurea* gene were analyzed under EA-inducing conditions. Since the expected intermediates (paspalic acid and lysergic acid) are normally not secreted, mycelial extracts were also analyzed. As shown in Fig. 6, mycelial extracts of transformants indeed contained paspalic acid and lysergic acid, which were completely undetectable in the untransformed *C. fusiformis* recipient strain. The identity of the TLC peaks was confirmed by liquid chromatography-mass spectrometry analyses (Fig. 7). These results demonstrated that CloA converts elymoclavine to paspalic acid and confirmed that this step is missing in the *C. fusiformis* wild type.

The relatively small amount of lysergic acid present in the extracts could result from end product inhibition, as has been postulated to explain the alkaloid spectrum of the *CpcloA* mutant (8). As in this mutant, in *C. fusiformis* the end product of alkaloid biosynthesis, elymoclavine, is present at low levels compared to the levels of its immediate precursor, agroclavine, probably due to feedback inhibition of the agroclavine-oxidizing enzyme. In the complemented strain, elymoclavine accumulated to higher levels than lysergic acid, conceivably due to feedback inhibition by lysergic acid (Fig. 8).

Evolution of EAS clusters in *Claviceps* species. Here we show that *C. fusiformis* has homologues of nine of the *C. purpurea*

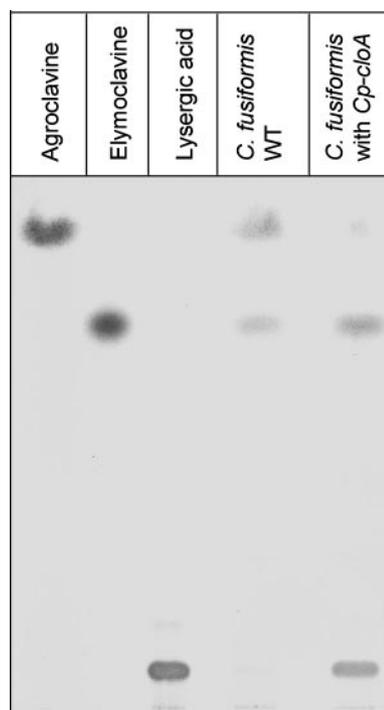


FIG. 6. TLC analyses of ergot alkaloids from the *C. fusiformis* wild type (WT) and a transformant carrying the *CpcloA* gene. Culture extracts were chromatographed on TLC plates, visualized by using van Urk's reagent, and identified by comparison with pure standards.

EAS genes previously identified but that two of these genes (*CfcloA* and *CflpsB*^ψ) apparently encode nonfunctional products. It seems likely that the other seven *CfEAS* genes are functional; they are most likely involved in clavine biosynthesis, since homologues of *easA*, *easC*, *easD*, *easE*, *easF*, *easG*, and *dmaW* are also present in the fumigaclavine biosynthesis gene cluster of *Aspergillus fumigatus* (4, 11). The EA profile of *A. fumigatus* (order Eurotiales) includes festuclavine and fumigaclavines but no lysergic acid or lysergic acid amides. Furthermore, *A. fumigatus* lacks identifiable homologues of *cloA*, *lpsA*, *lpsB*, or *lpsC*, suggesting that an ancestral gene cluster acquired *cloA* and the NRPS genes on the evolutionary lineage to the plant-associated Clavicipitaceae. In contrast, the presence of an *lpsB* pseudogene in *C. fusiformis* suggests that the common ancestor of *C. purpurea* and *C. fusiformis* synthesized amides of lysergic acid and that the trait was lost in the *C. fusiformis* lineage. An *lpsA* homologue has also been found in *Epichloë* (*Neotyphodium*) species, where it is required for formation of ergovaline (14). Furthermore, deletion of an *lpsB* homologue in *Epichloë festucae* led to accumulation of lysergic acid, as well as accumulation of the clavine compound 6,7-secolysergine, whereas the end product ergovaline was completely missing, as were lysergyl alanine and ergine, both of which are derivatives of lysergic acid (5). Interestingly, Fleetwood et al. also identified several long terminal repeat retrotransposons and nonautonomous transposable elements in the intergenic regions of the alkaloid cluster of *Neotyphodium lolii*, which could be the reason for rearrangement events in the overall structure of the alkaloid cluster (5). Also, *Balansia* species and clavicipitaceous symbionts of *Ipomoea* species

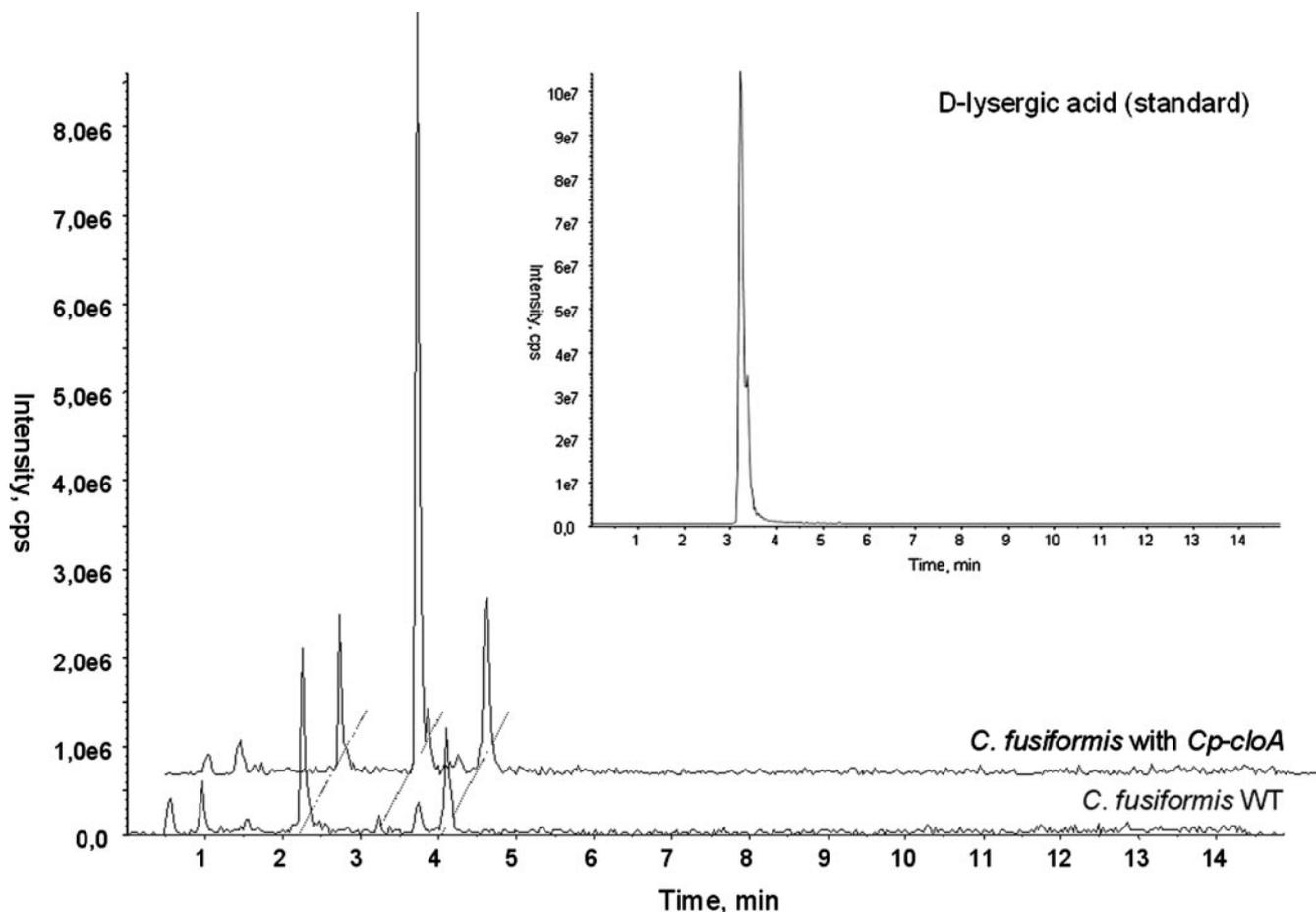


FIG. 7. Liquid chromatography-mass spectroscopy analyses of ergot alkaloids from the *C. fusiformis* wild type (WT) and a transformant carrying the *Cp-cloA* gene. The inset shows the migration of the lysergic acid standard.

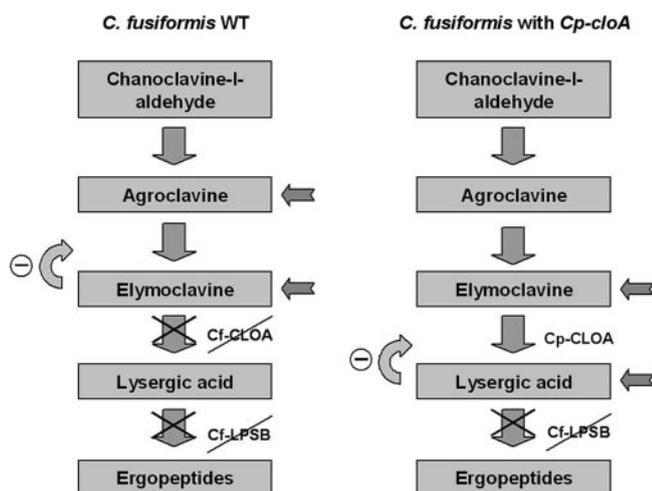


FIG. 8. Diagram of ergot alkaloid biosynthesis in the *C. fusiformis* wild type (WT) and a transformant carrying the *Cp-cloA* gene. In the *C. fusiformis* wild type the pathway ends at elymoclavine due to a non-functional *Cf-cloA* gene. In addition to elymoclavine, agroclavine accumulates, perhaps due to feedback inhibition. In the *C. fusiformis* transformant carrying a functional *Cp-cloA* gene, lysergic acid and elymoclavine accumulate. The absence of functional *lpsA* and *lpsB* genes in *C. fusiformis* apparently precludes the synthesis of ergopeptides in this transformant.

(plant family Convolvulaceae) produce the ergopeptide ergobalansine, which, like ergotamine and ergovaline, comprise lysergic acid and three L-amino acids in a cyclolactone ring system (9, 15, 19). Therefore, it appears that the common ancestor of most or all plant-associated Clavicipitaceae species had one or more *lpsA* homologues, which were lost in the *C. fusiformis* lineage.

It is interesting to speculate about the order in which functions of *cloA* and *lpsB* were lost in the *C. fusiformis* lineage. The sequence divergence of the *lpsB* genes in the two species is slightly greater than that of the *cloA* genes. This may be because of an earlier loss of *lpsB* function or, alternatively, because functional *lpsB* is less conserved. If we speculate that *lpsB* function was lost first, a reasonable scenario would start with the rearrangement of the *EAS* cluster segment containing it, with concomitant deletion of most of the third domain. This might have provided selection for the frameshift mutations that eliminate the potential for production of anything other than a small peptide product from this gene. Furthermore, the loss of functional *lpsB* could have led to accumulation of lysergic acid as the end product. Interestingly, typical EA-producing Clavicipitaceae species tend to produce either lysergyl amides and ergopeptides or clavines, but they accumulate little lysergic acid. Perhaps the latter compound is detrimental to

the producing fungi, or perhaps it is simply less beneficial as a protectant than either the clavines or lysergyl amides and ergopeptines. In either case, loss of *lpsB* would lead to selection for loss of functional *cloA*.

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